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12-13-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. §1.53(b)

Attorney Docket No.	29279/3:3
First Inventor or Application Identifier	David Resnick
Title	ELECTRONIC PAYMENT SYSTEM UTILIZING INTERMEDIARY ACCOUNT
Express Mail Label No.	EL657217788US

12/11/00

PTO 09/14/98

00/11/21

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- Specification [Total Pages **23**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

- Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Copy
  - Paper Copy (identical to computer copy)
  - Statement verifying identity of above copies.

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]

4. Oath or Declaration [Total Pages **3**]

- Newly executed (original or copy)
- Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5.  Incorporation by Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b) Statement (when there is an assignee)  Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (should be specifically itemized)
- \* Small Entity Statement(s)  Statement filed in prior application, Status proper and desired (PTO/SB/09-12)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Other: .....

\* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. §1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09 / 442,620  
 Prior application information: Examiner Richard W. Hess Group/Art Unit: 2764

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **3528** or  Correspondence address below  
 (Insert Customer No. or Attach bar code label here)

Name	Micah D. Stelowitz		
	Stoel Rives LLP		
Address	900 SW Fifth Ave., Suite 2600		
City	Portland	State	OR
		Zip Code	97204-1268
Country	USA	Telephone	503 224-3380
		Fax	503 220-2480

Name (Print/Type)	Micah D. Stelowitz	Registration No. (Attorney/Agent)	32,758
Signature		Date	December 11, 2000

Application No.:

Filed:

Inventors: **David Resnick and Matt J. Callanan**

Title: **ELECTRONIC PAYMENT SYSTEM  
UTILIZING INTERMEDIARY ACCOUNT**

Art Unit:

Examiner:

Attorney Docket No.: 29279/3:3

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**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing  
label number EL657217788US

Date of Deposit: December 11, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE  
ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO  
THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231

  
\_\_\_\_\_  
Signature

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Kitty Sisk

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Attachments:

For Divisional Patent Application:

- Preliminary Amendment
- Patent Application, including 23 pages of specification and claims  
(copied from prior parent patent application)
- Nine sheets of formal drawings
- Combined Declaration and Power of Attorney of prior application
- Information Disclosure Statement with copies of cited references
- Form PTO-1449
- Utility Patent Application Transmittal
- Fee Transmittal (in duplicate)
- Check for \$728.00
- Return receipt postcard

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number  
 Filing Date  
 First Named Inventor  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No.

29279/3:3

U.S. PTO  
 09/13/00  
 12/11/00

TOTAL AMOUNT OF PAYMENT (\$) 728.00

### METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 19-4455  
 Deposit Account Name: Steel Rives LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710

#### 2. EXTRA CLAIM FEES

Total Claims: 21  
 Independent Claims: 3  
 Multiple Dependent:

Extra Claims: 1  
 Fee from below: 18  
 Fee Paid: 18

Independent Claims: 0  
 Fee from below: 80  
 Fee Paid: 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 18.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \_\_\_\_\_

### SUBMITTED BY

Name (Print/Type)	Micah D. Stolowitz	Registration No. (Attorney/Agent)	32,758	Telephone	(503) 224-3380
Signature	<i>Micah D. Stolowitz</i>	Date	December 11, 2000		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.